From Structural Causal Models to Causal Abstraction Learning

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University of Bergen

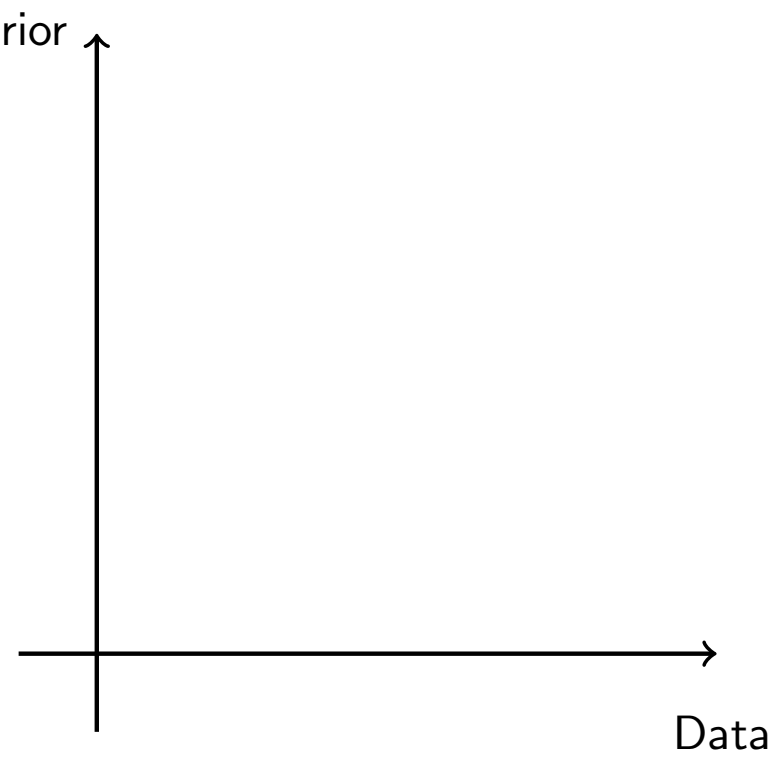
May 30, 2025

1. Structural Causal Modelling

Modelling

Assume we want to model a *system*.

Different types of model will negotiate a trade-off between priors and data:



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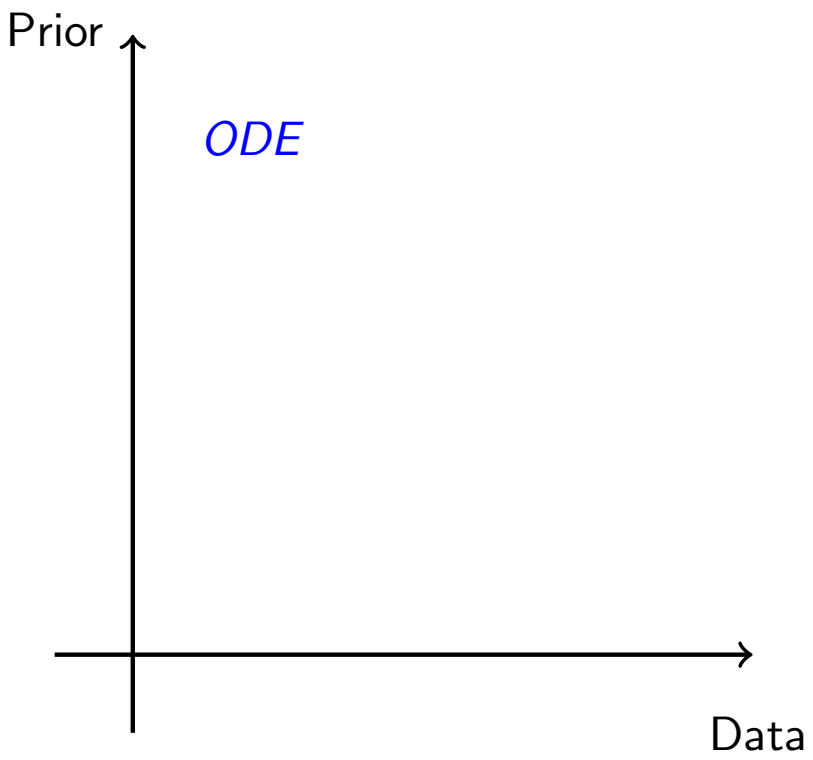

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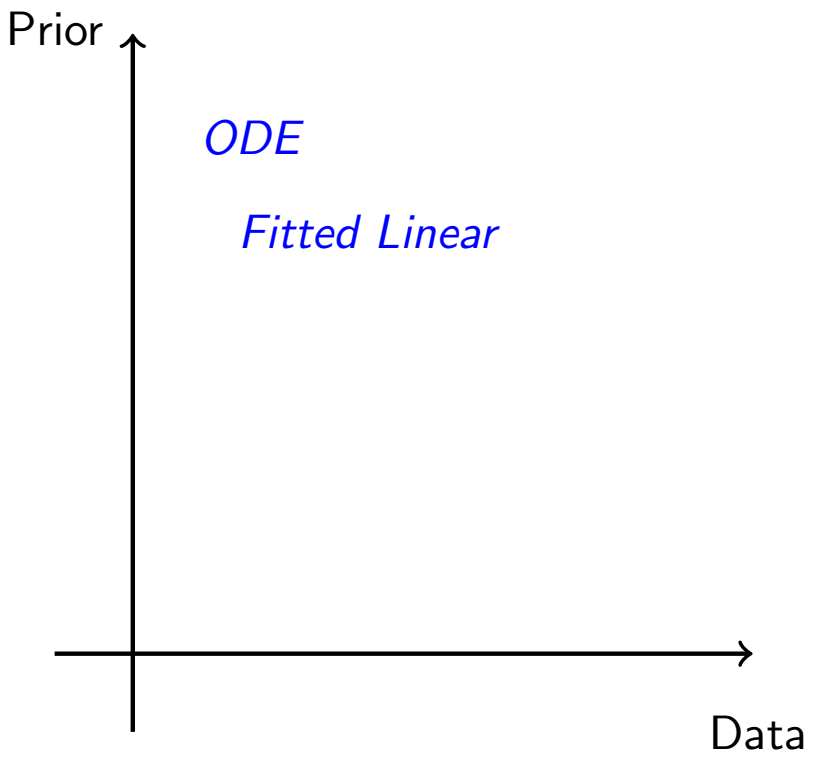

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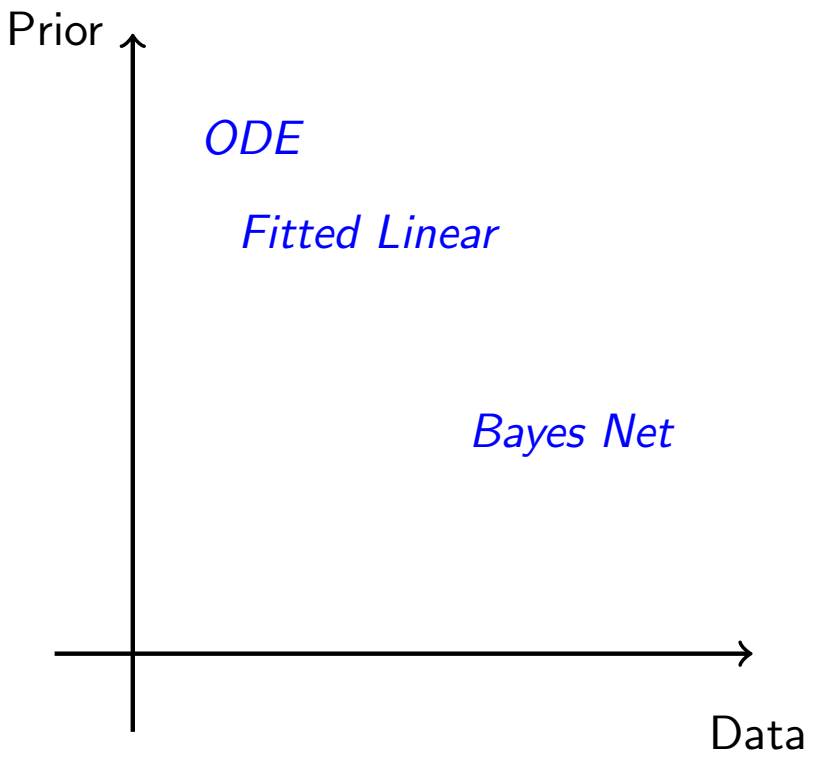

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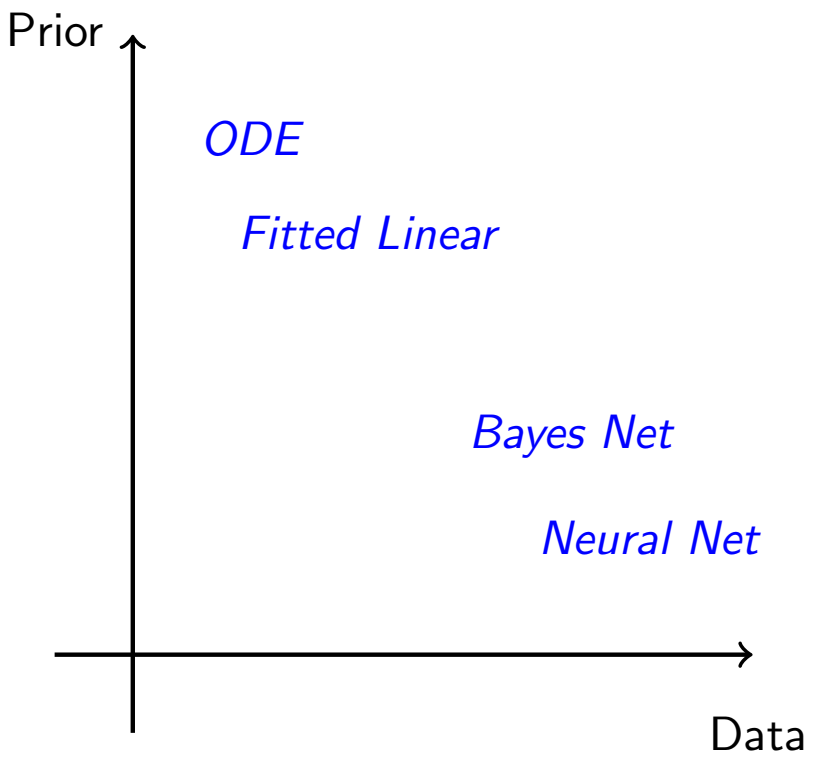

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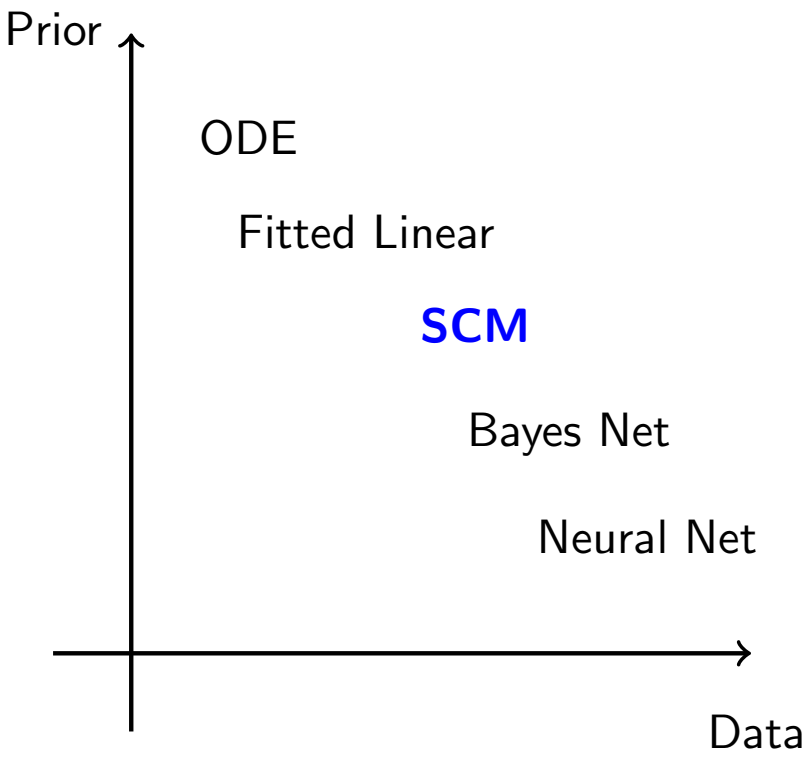
Structural causal models rely on a strong prior given by *causality* [16, 17].



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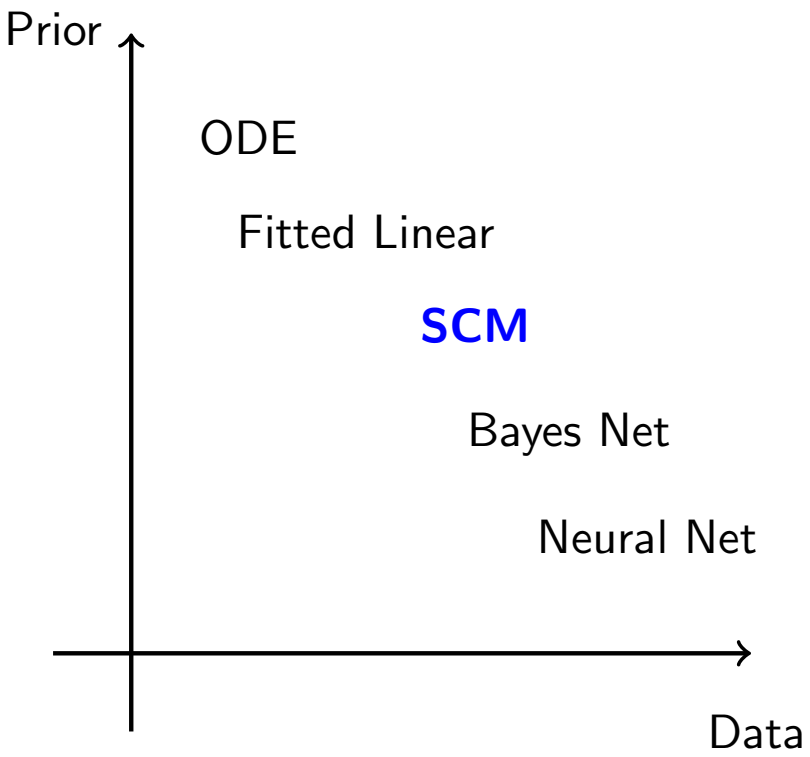

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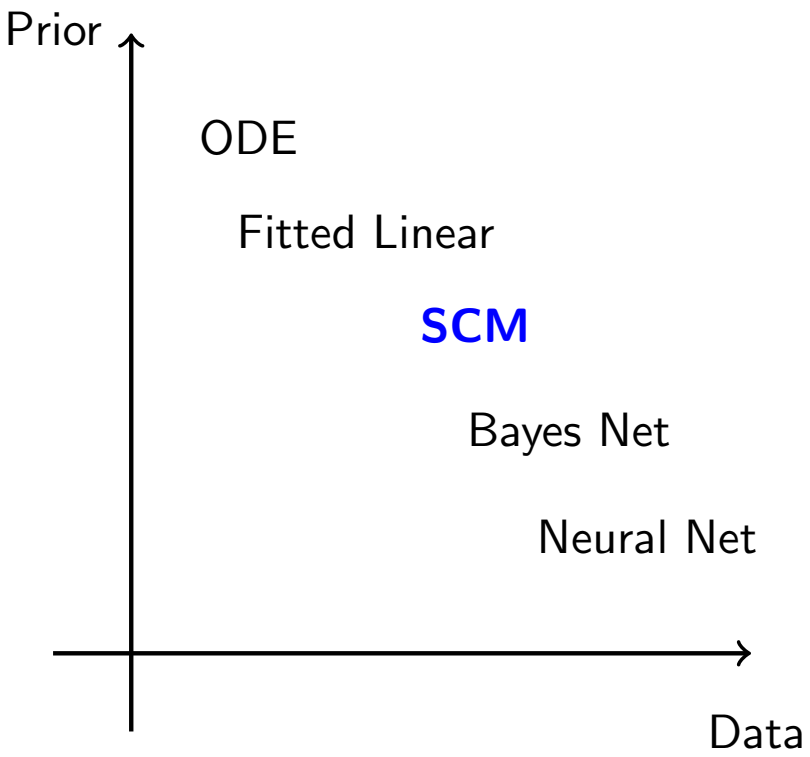

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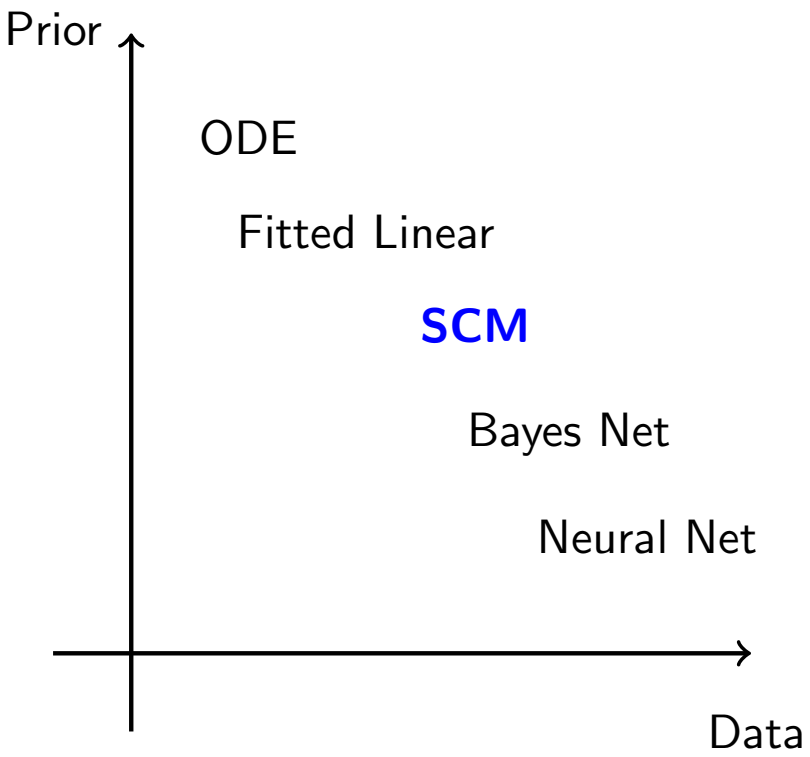

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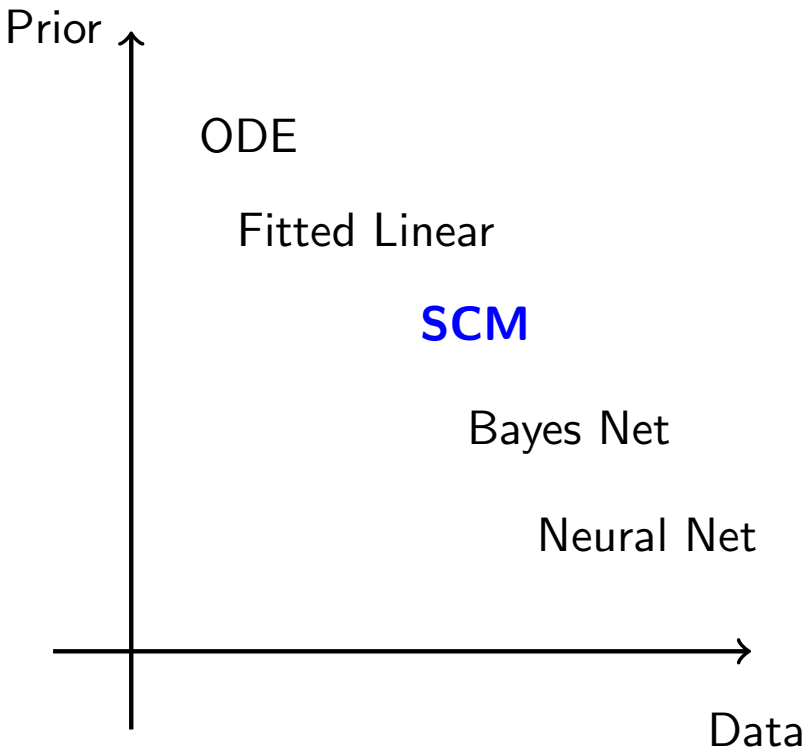

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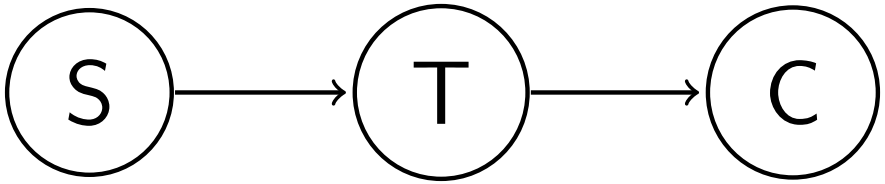
- It implies a *causality ladder* of reasoning.

A Motivating Example

SCMs represent **causal systems**.



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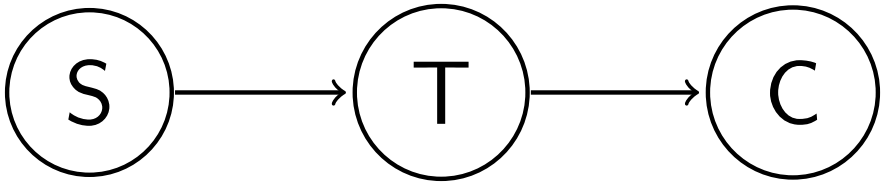

SCMs integrates a *graphical model* and *probabilities distributions*.

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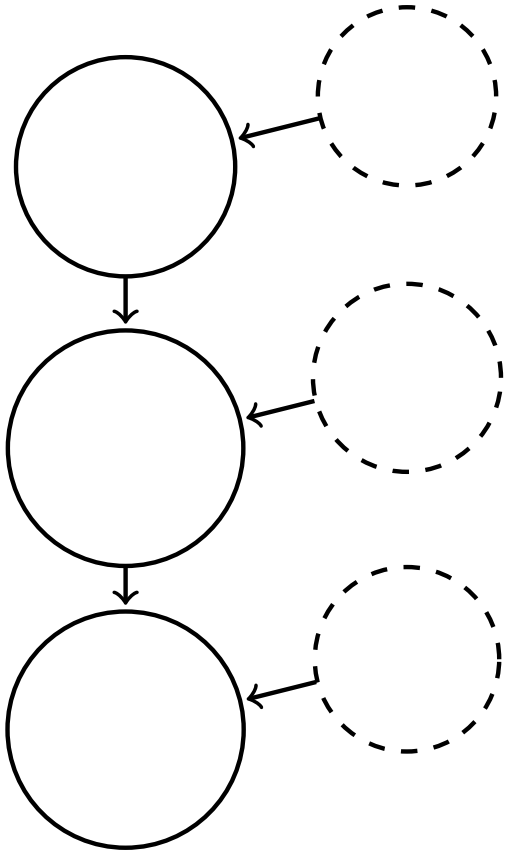

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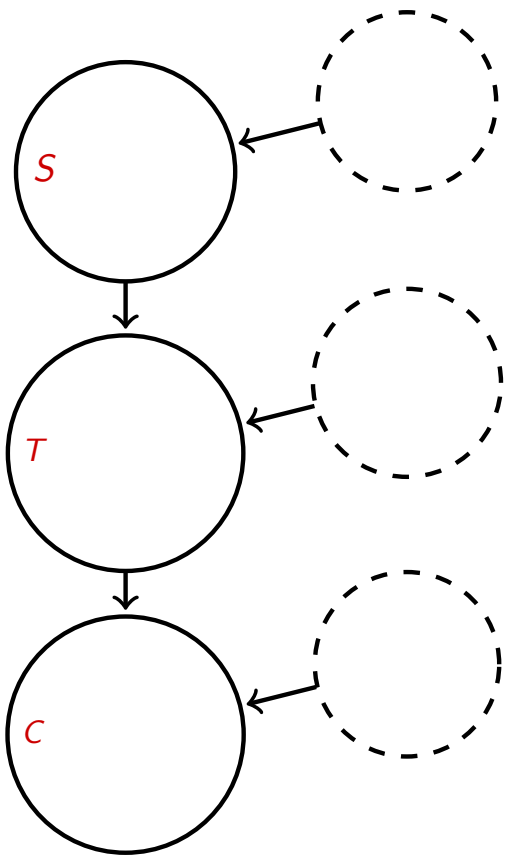

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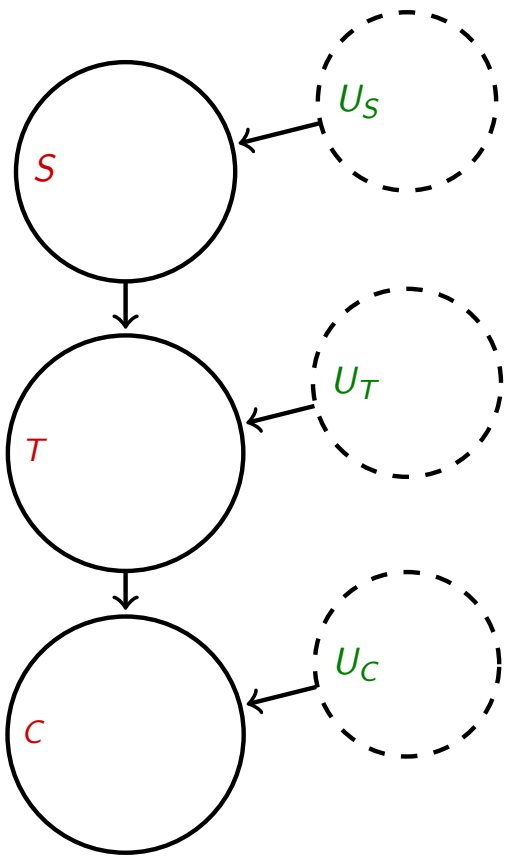

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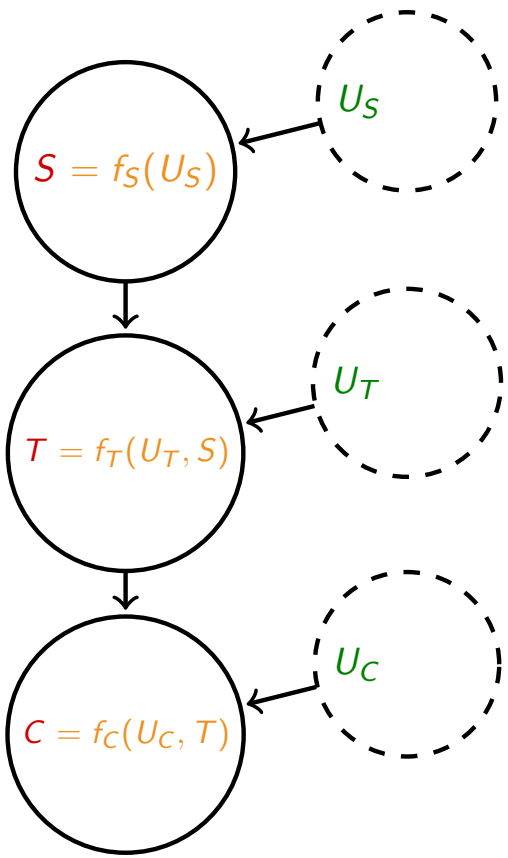

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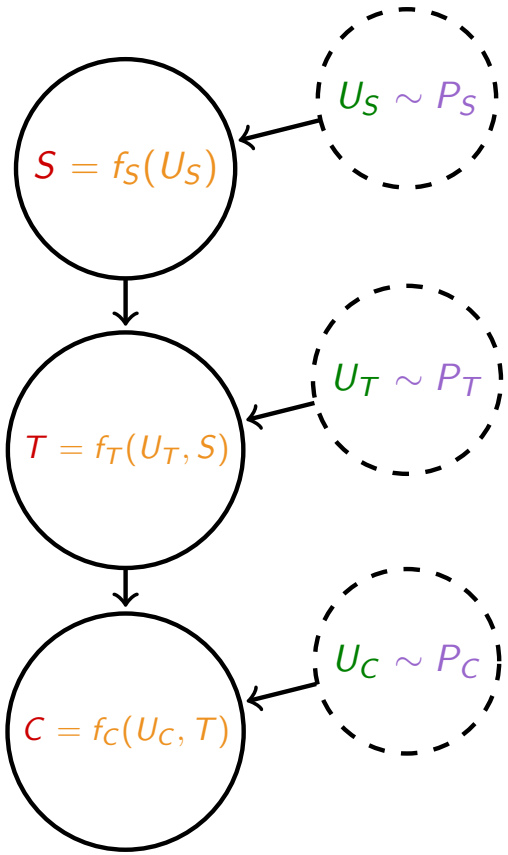

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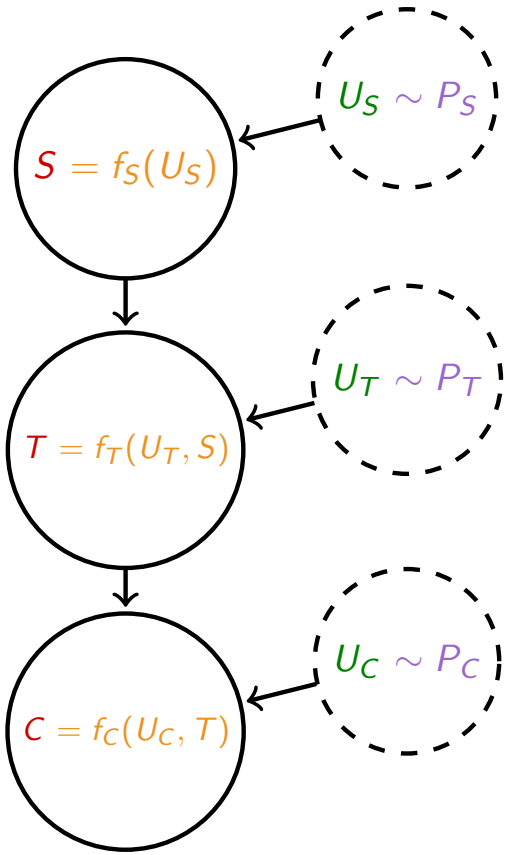

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Every SCM $\mathcal{M}$ implies a (joint) **distribution** $P_{\mathcal{M}}$: $P_{\mathcal{M}}(S, T, C)$

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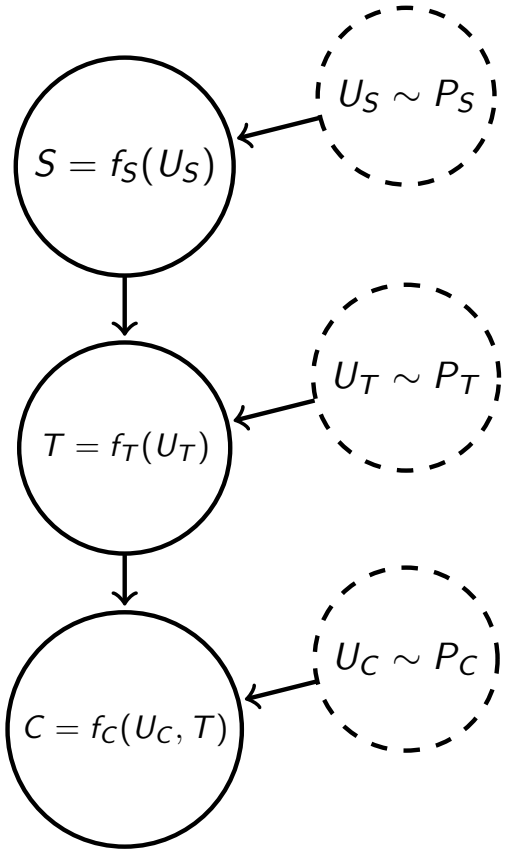

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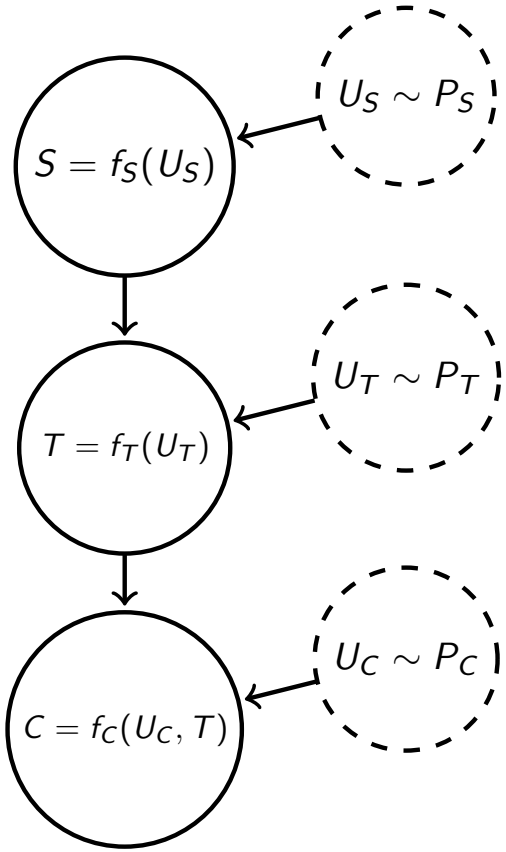

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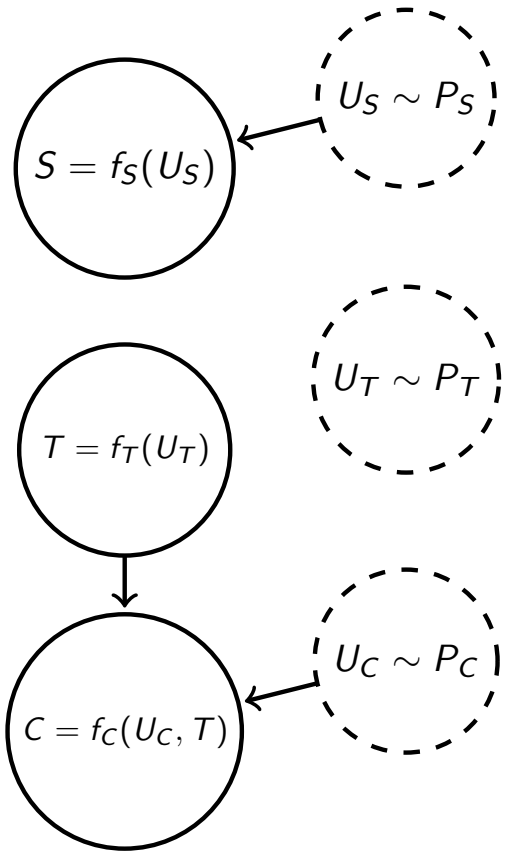

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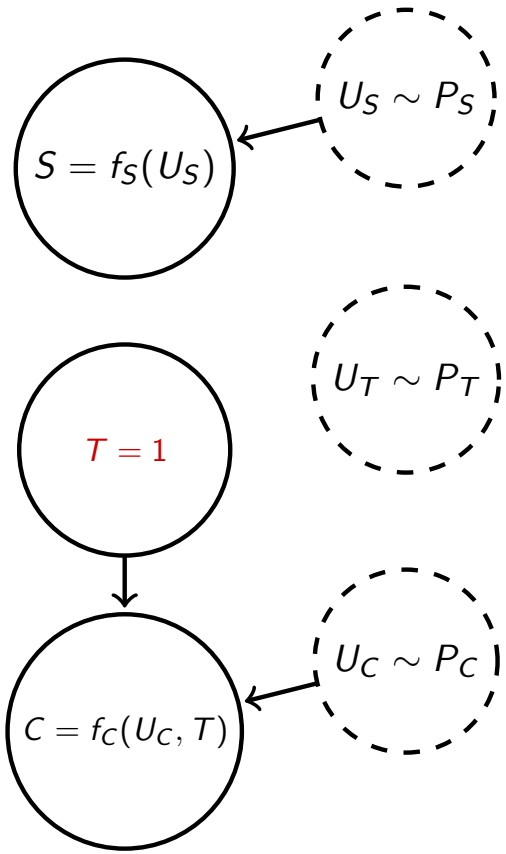

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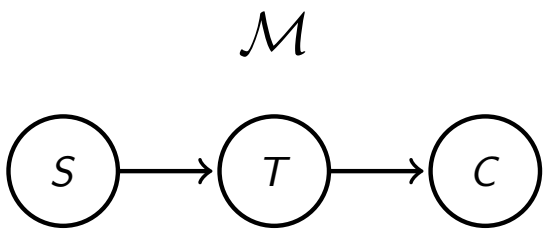

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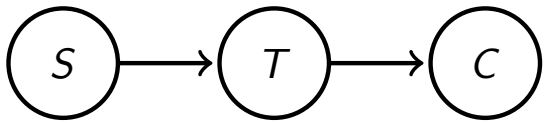

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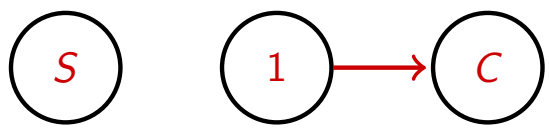

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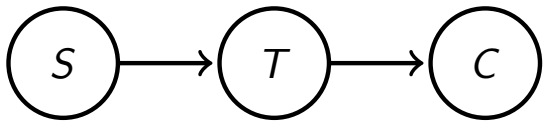

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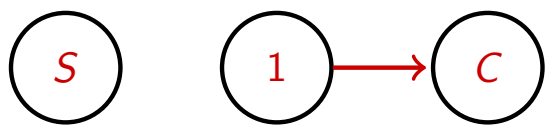

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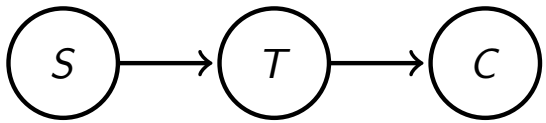

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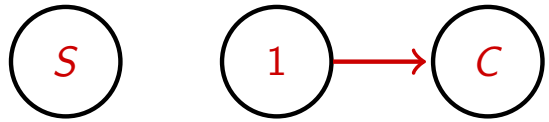

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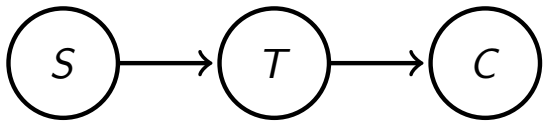

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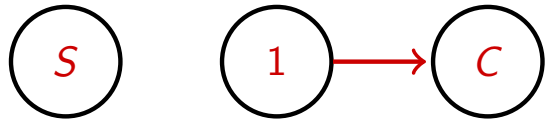

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2. Causal Abstraction

Levels of Abstraction

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LoA may be inaccessible, so we may want to *shift* among LoAs.

1. We need a *mapping* between LoAs.
2. We want the mapping to be *consistent*.

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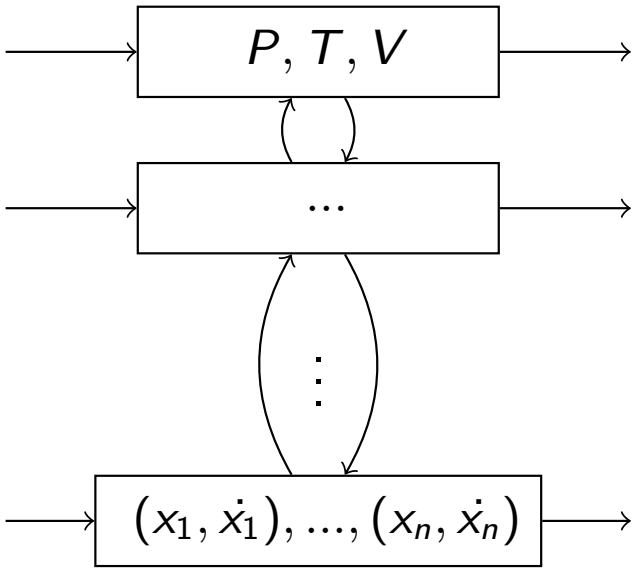
Abstraction (aka, *multi-level modelling* or *multi-resolution modelling*) aims at relating these levels.



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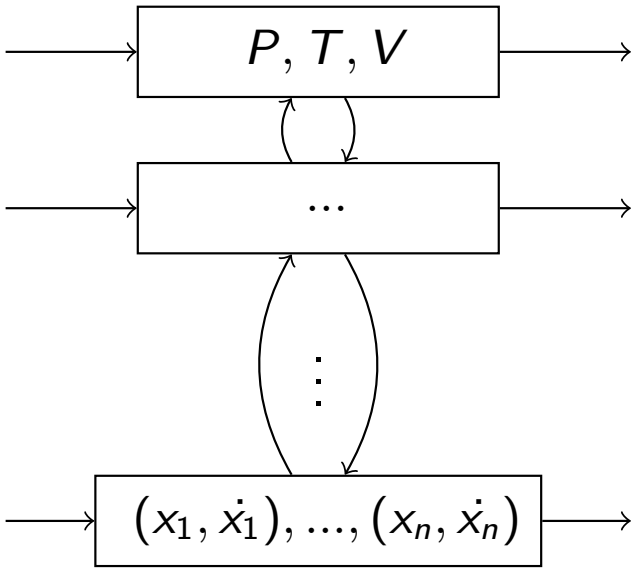

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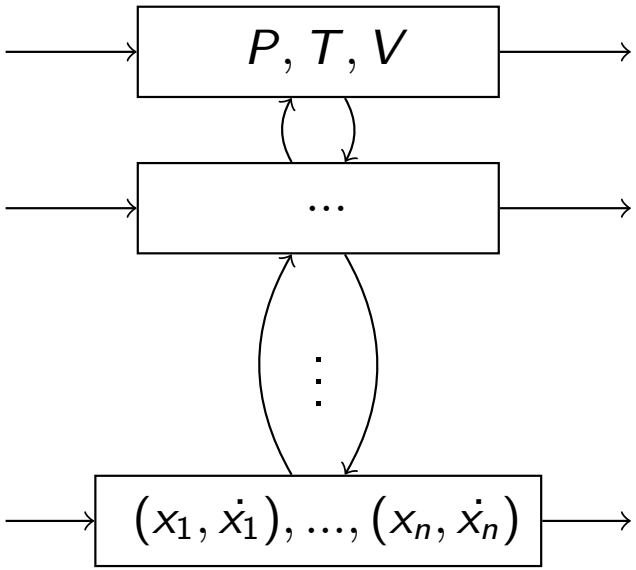

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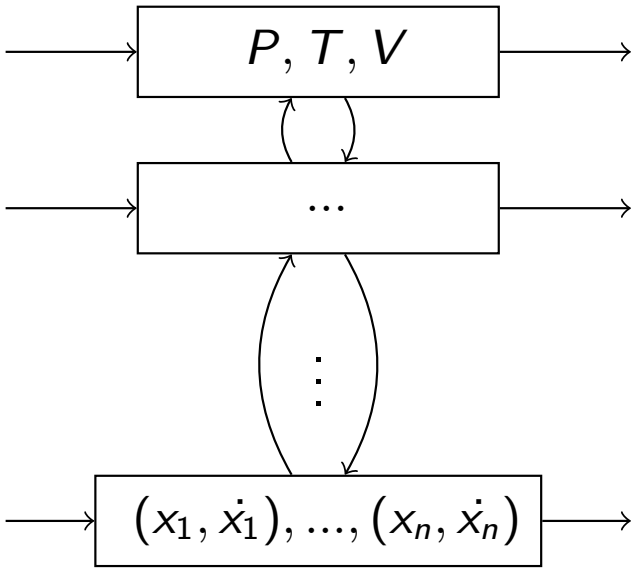

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Lung cancer scenario example:

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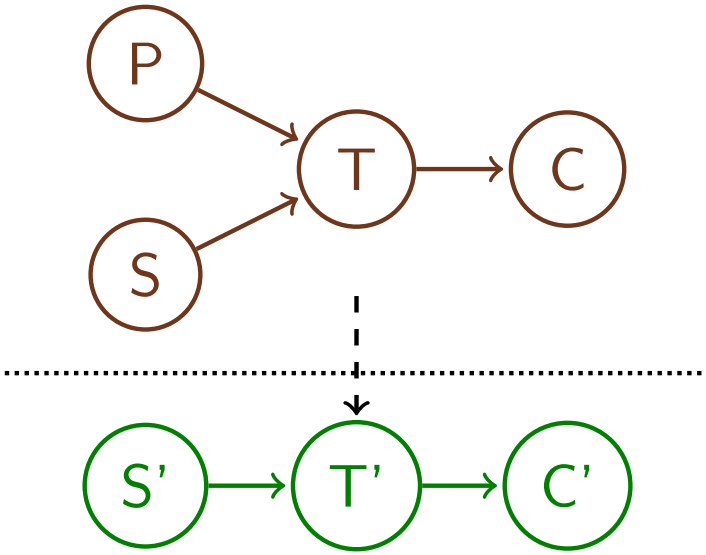

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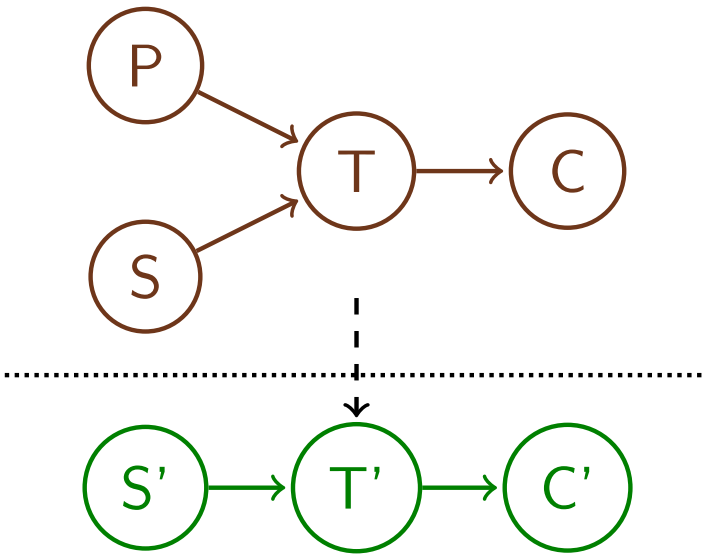

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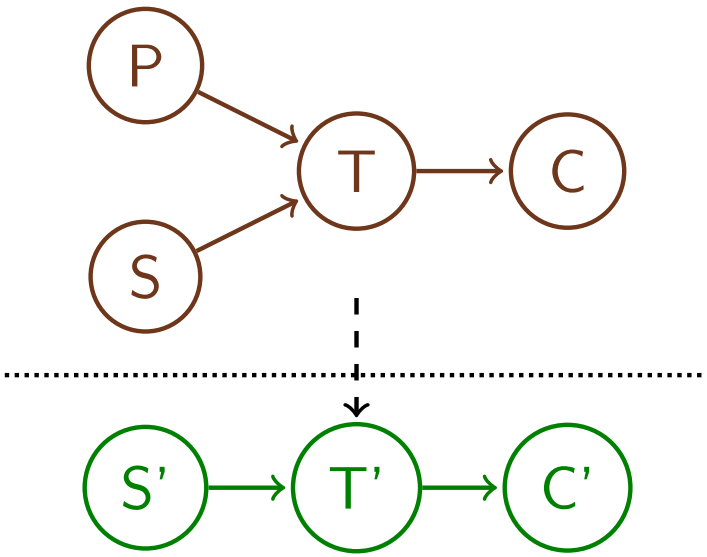

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- The *transformation* approach [20, 2]
- The α-**abstraction** approach [19, 18]
- The Φ-*abstraction* approach [14, 15]

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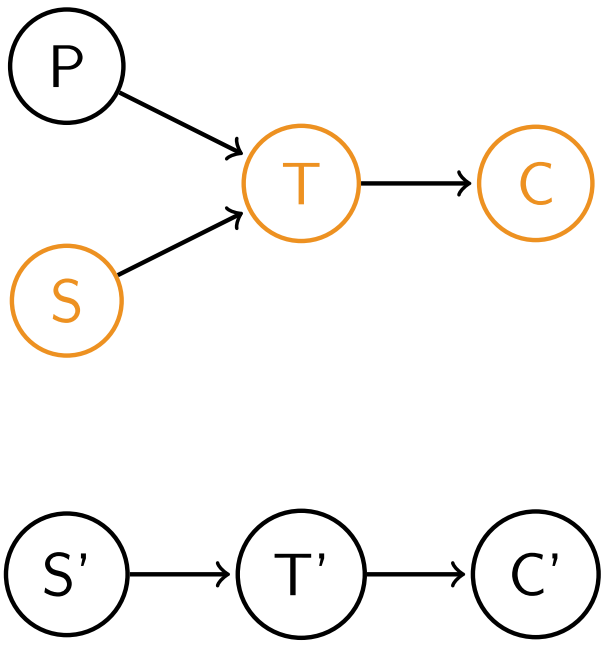

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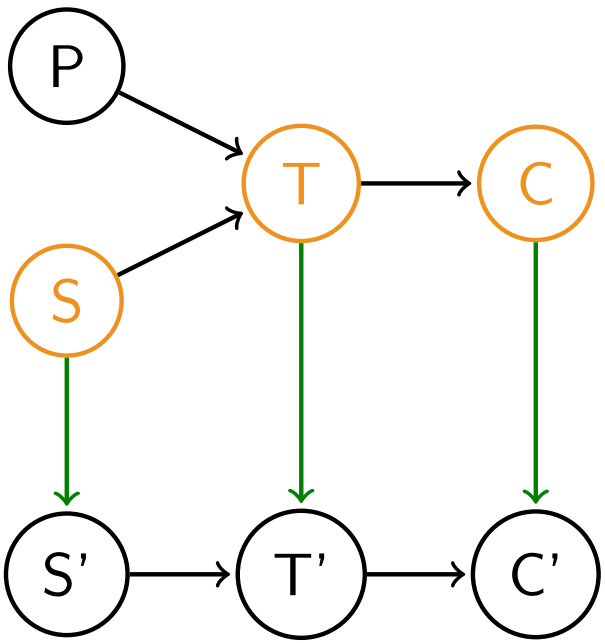

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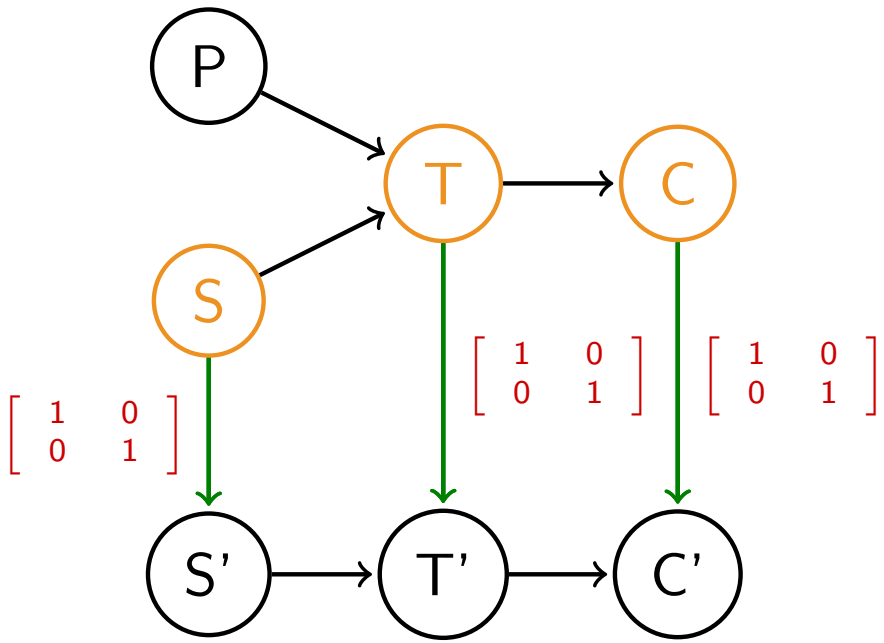
- a: a surjective function between *variables*;

Causal Abstractions (CAs) - Definition

An α-**abstraction** $\langle R, a, \alpha_i \rangle$ [19, 18] is defined as:



- R: a set of *relevant variables*;
- a: a surjective function between *variables*;
- α_i: a collection of surjective functions between *outcomes*.

Causal Abstractions (CAs) - Consistency

We want an abstraction to guarantee *interventional consistency*.

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$$S' \xrightarrow[P_{\mathcal{M}'_{\iota'}}(T'|do(S'))]{\nu} T'$$

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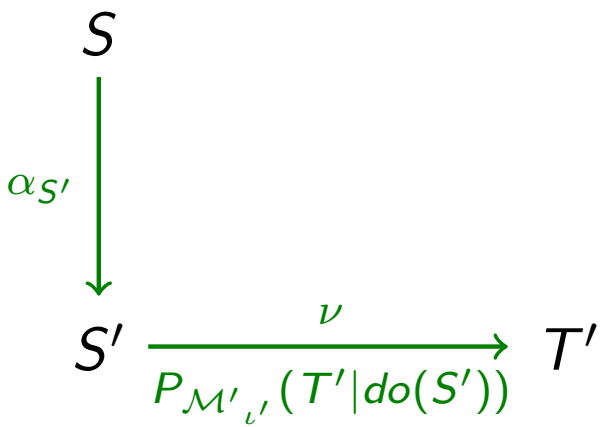

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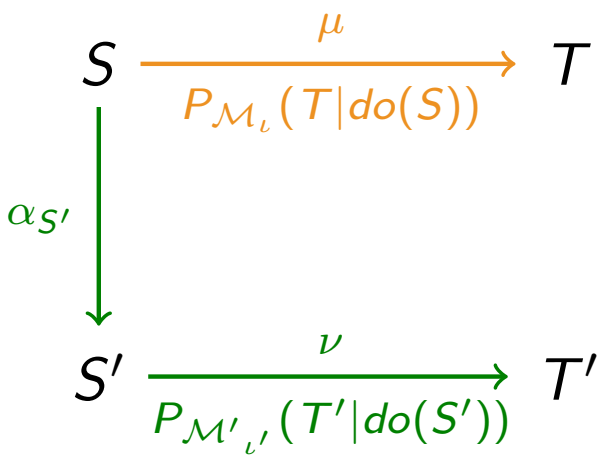

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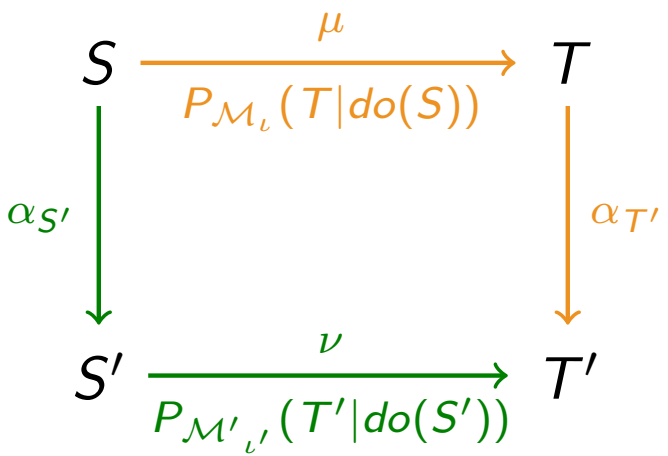

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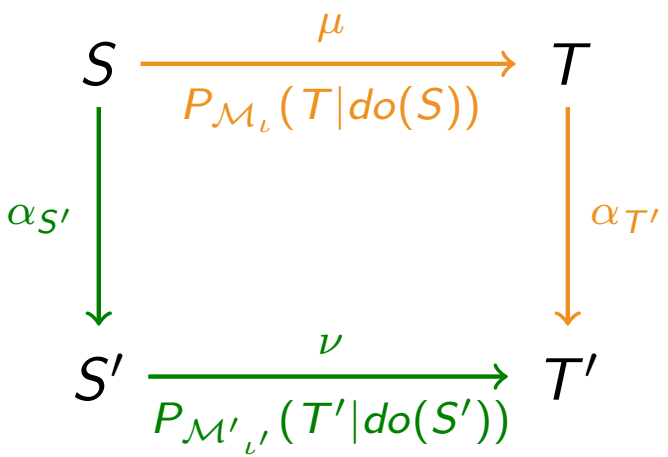

- Ideally, mechanisms and abstractions *commute*.

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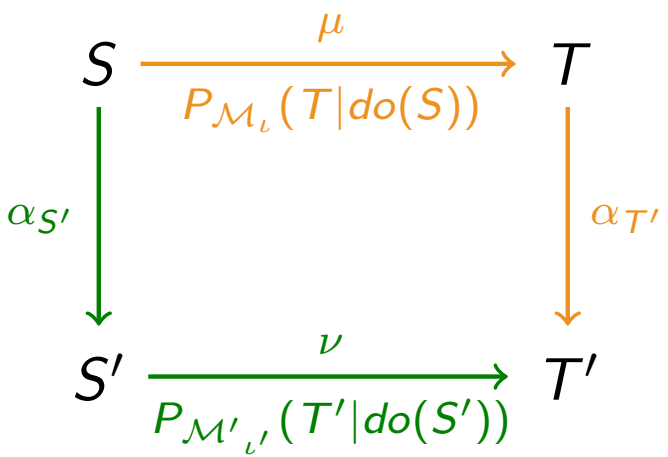

- Ideally, mechanisms and abstractions *commute*.
- Otherwise, we compute an abstraction error as the *worst-case discrepancy* over all possible interventions:

$$E_{\alpha}(S', T') = \max_{\iota} D(\alpha_{T'} \cdot \mu, \nu \cdot \alpha_{S'})$$

Causal Abstractions (CAs) - Abstraction Error

An abstraction implies multiple *causal mechanism diagrams*:

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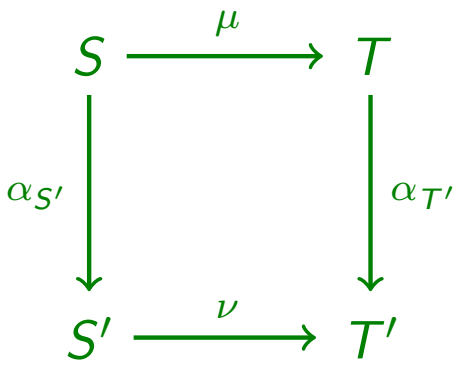

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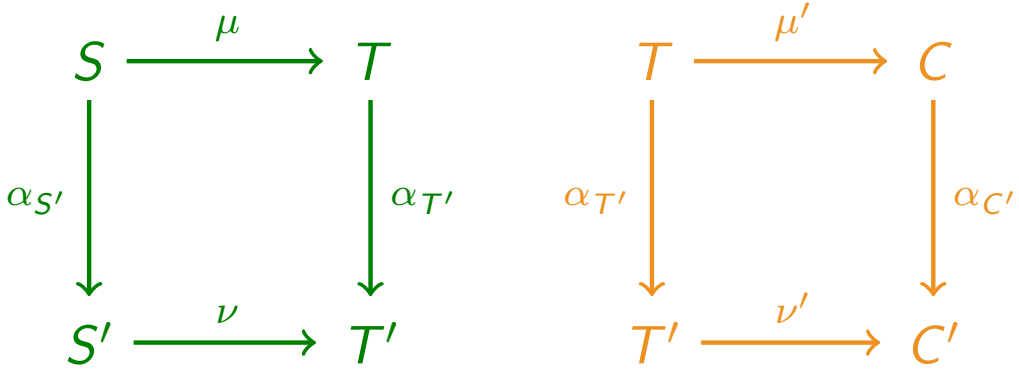

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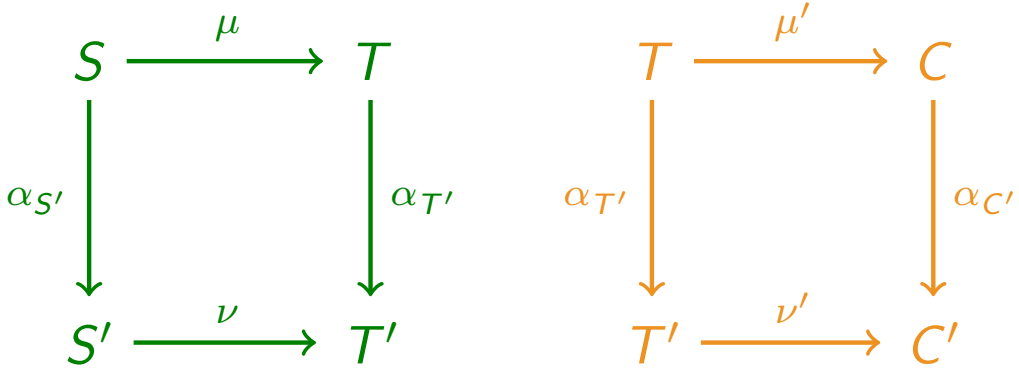

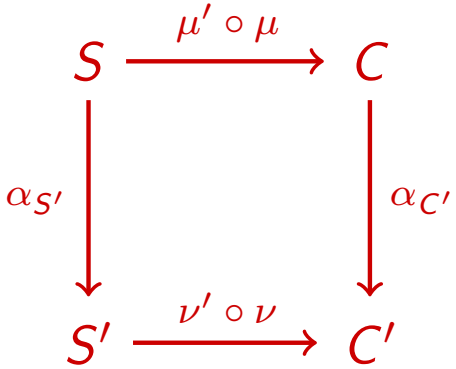

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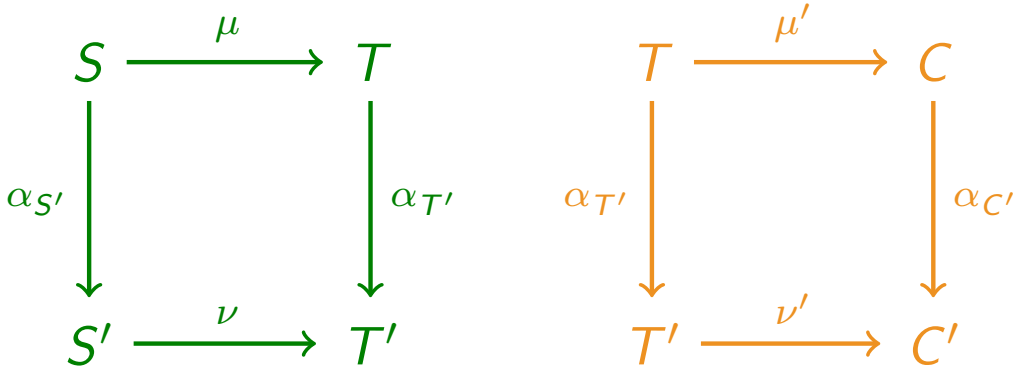
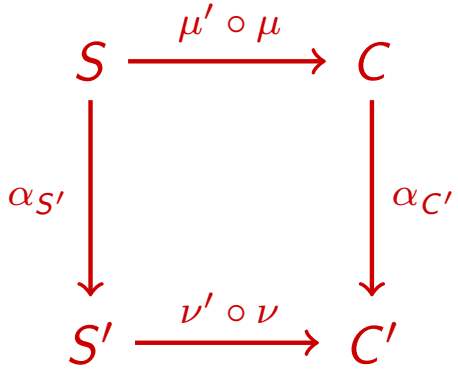




A **(global) abstraction error** [19] $e(\boldsymbol{\alpha})$ is the maximum abstraction error over all diagrams.

$$e(\boldsymbol{\alpha}) = \sup_{\mathbf{X}', \mathbf{Y}' \subseteq \mathcal{X}'} E_{\boldsymbol{\alpha}}(\mathbf{X}', \mathbf{Y}')$$

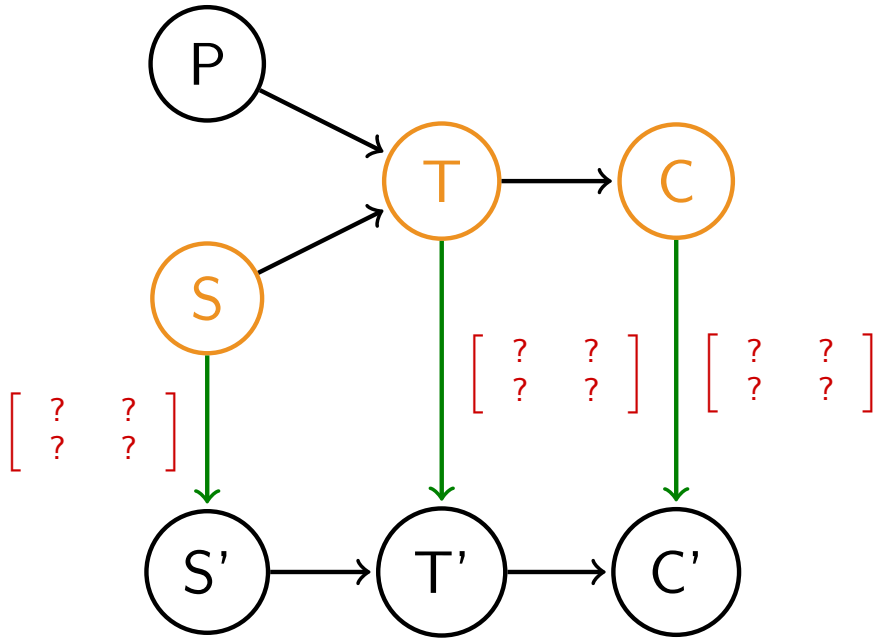
3. Abstraction Learning

Joint work of FMZ, M. Drávucz, G. Apachitei, W.D. Widanage and T. Damoulas

Problem statement [25]

Given a partially define *abstraction* $\boldsymbol{\alpha}$ in terms of $\langle R, a \rangle$ can I learn α_i as:

$$\min_{\boldsymbol{\alpha}} \; e(\boldsymbol{\alpha})$$



Challenges [25]

(i) *Multiple related problems*

$$\alpha_{S'} = \begin{bmatrix} ? & ? \\ ? & ? \end{bmatrix}, \alpha_{T'} = \begin{bmatrix} ? & ? \\ ? & ? \end{bmatrix}, \alpha_{C'} = \begin{bmatrix} ? & ? \\ ? & ? \end{bmatrix}$$

$$\begin{array}{ccc} S & \xrightarrow{\mu} & T \\ {\scriptstyle \alpha_{S'}}\downarrow & & \downarrow{\scriptstyle \alpha_{T'}} \\ S' & \xrightarrow{\nu} & T' \end{array} \qquad \begin{array}{ccc} T & \xrightarrow{\mu'} & C \\ {\scriptstyle \alpha_{T'}}\downarrow & & \downarrow{\scriptstyle \alpha_{C'}} \\ T' & \xrightarrow{\nu'} & C' \end{array}$$

$$\begin{array}{ccc} S & \xrightarrow{\mu' \circ \mu} & C \\ {\scriptstyle \alpha_{S'}}\downarrow & & \downarrow{\scriptstyle \alpha_{C'}} \\ S' & \xrightarrow{\nu' \circ \nu} & C' \end{array}$$

Challenges [25]

(i) *Multiple related problems*

(ii) *Combinatorial optimization*

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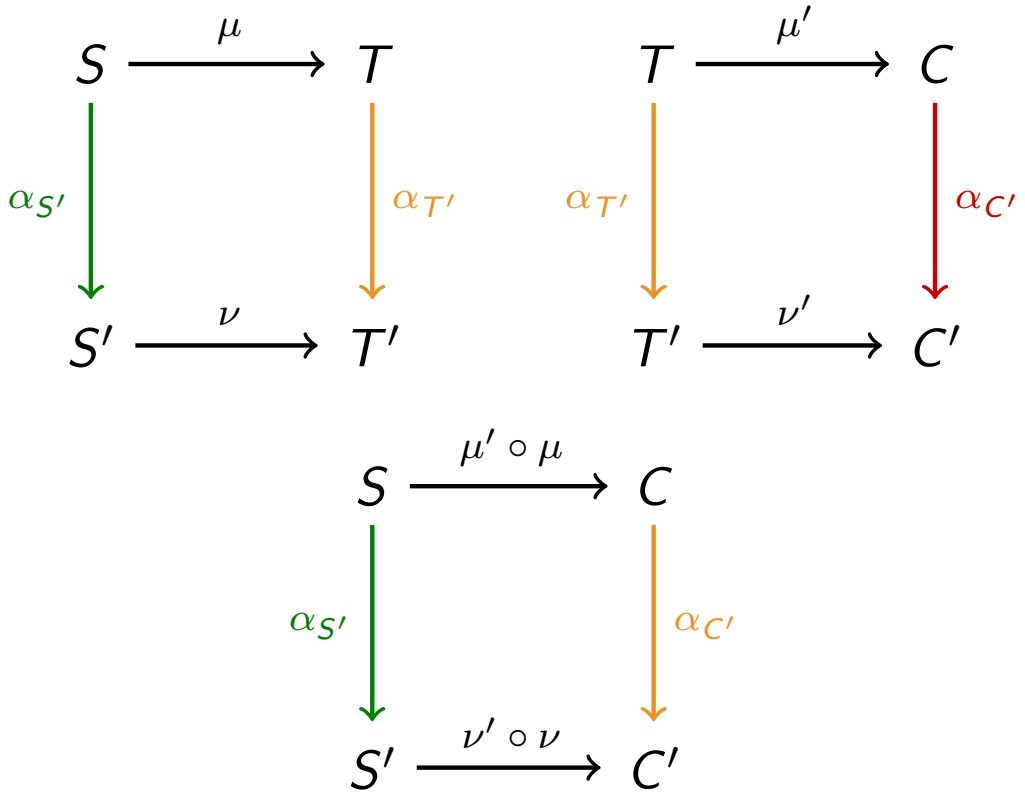

Challenges [25]

(i) *Multiple related problems*

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(iii) *Surjectivity constraints*

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Challenges [25]

(i) *Multiple related problems*

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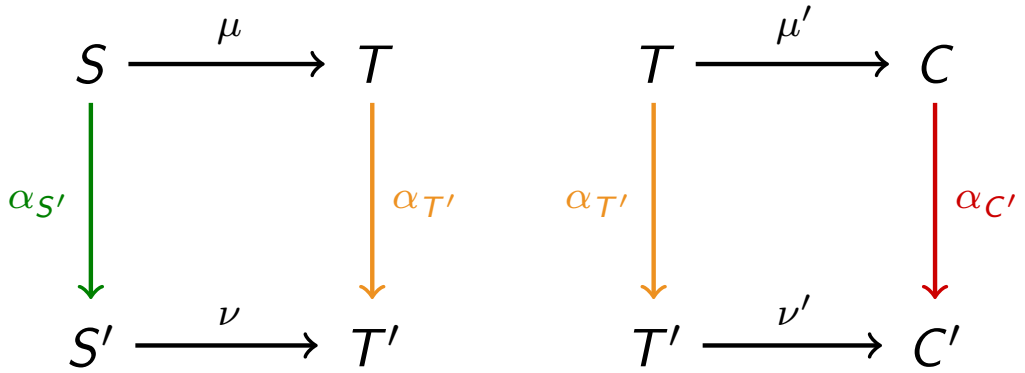
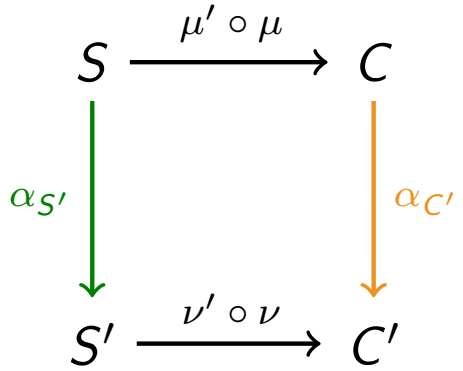
Baselines: parallel or sequential approaches.

$$\alpha_{S'} = \begin{bmatrix} ? & ? \\ ? & ? \end{bmatrix}, \alpha_{T'} = \begin{bmatrix} ? & ? \\ ? & ? \end{bmatrix}, \alpha_{C'} = \begin{bmatrix} ? & ? \\ ? & ? \end{bmatrix}$$





Relaxation and parametrization [25]

We address *(ii) combinatorial optimization* by *relaxing* and *parametrizing* all α_i.

$$\min_{\boldsymbol{\alpha}(\mathbf{W})} \; e(\boldsymbol{\alpha}(\mathbf{W}))$$

$$\alpha_{S'}, \alpha_{T'}, \alpha_{C'} \in \mathbb{R}^{2\times 2}$$

$$\begin{bmatrix} 0.7 & 1.2 \\ -0.2 & 3.3 \end{bmatrix}$$

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We add *tempering* $t(W) = \frac{e^{\frac{W_{ij}}{T}}}{\sum_i e^{\frac{W_{ij}}{T}}}$ along the matrix columns to binarize them.

$$\mathcal{L}_1 : \min_{\boldsymbol{\alpha}(\mathbf{W})} \; e(\boldsymbol{\alpha}(t(\mathbf{W})))$$

$$\alpha_{S'}, \alpha_{T'}, \alpha_{C'} \in [0,1]^{2\times 2}$$

$$t\left(\begin{bmatrix} 0.7 & 1.2 \\ -0.2 & 3.3 \end{bmatrix}\right) = \begin{bmatrix} 0.99 & 0.02 \\ 0.01 & 0.98 \end{bmatrix}$$

Enforcing surjectivity [25]

We address *(iii) surjective constraints* through a *penalty function*:

$$\mathcal{L}_2 : \min_{\mathbf{W}} \sum_{\mathbf{W}} \sum_{i} \left(1 - \max_{j} t(W)_{ij}\right)$$

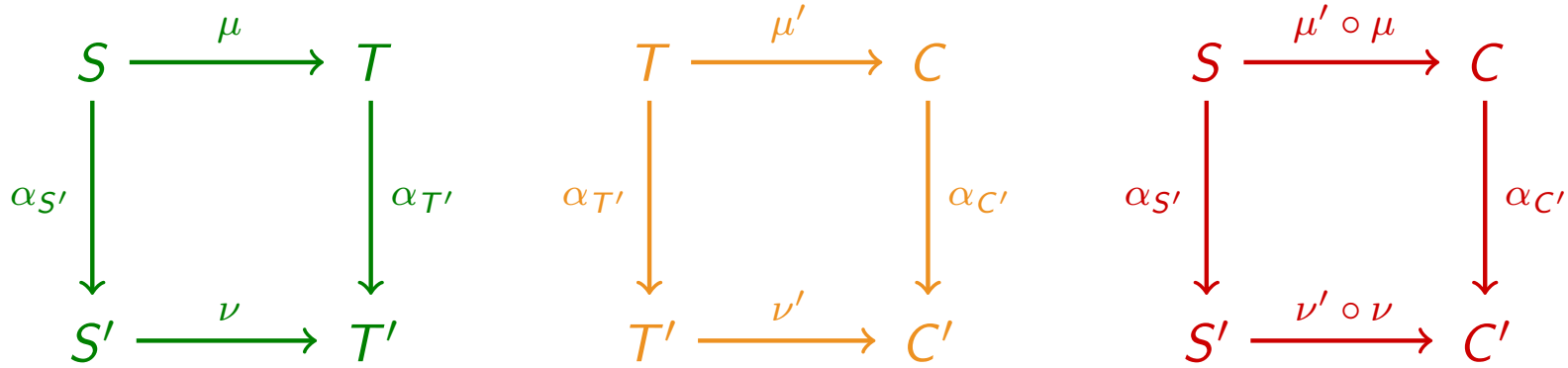
$$\alpha_{S'}, \alpha_{T'}, \alpha_{C'} \in [0,1]^{2\times 2}$$

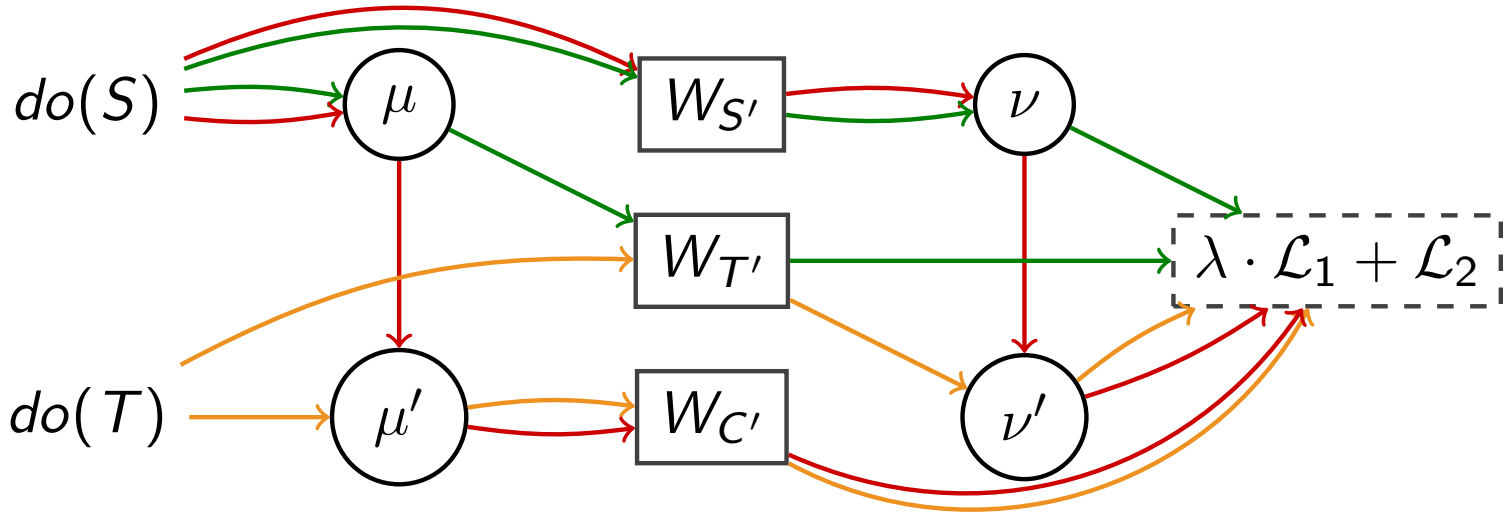
$$\begin{bmatrix} 0.99 & 0.02 \\ 0.01 & 0.98 \end{bmatrix} \overset{\mathcal{L}_2}{\rightsquigarrow}$$

$$(1-0.99)+(1-0.98)$$

Solution by gradient descent [25]

We address *(i) multiple related problems* by *jointly* solving all the problems via *gradient descent*:

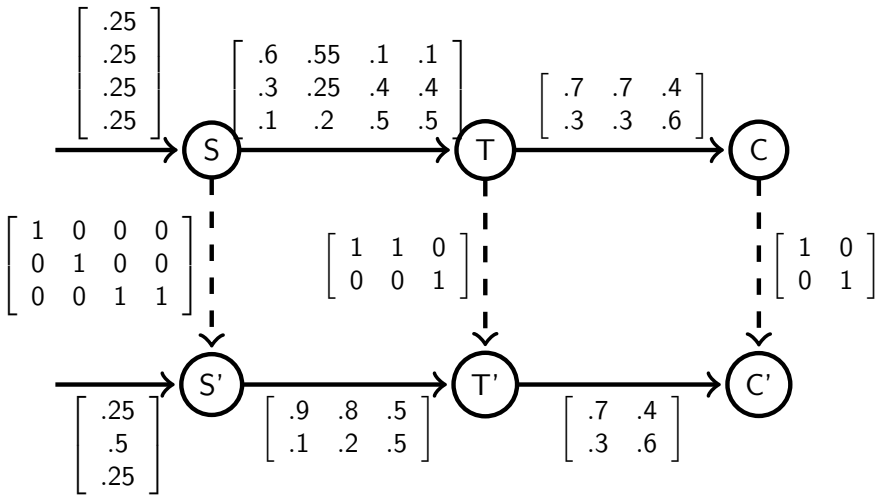


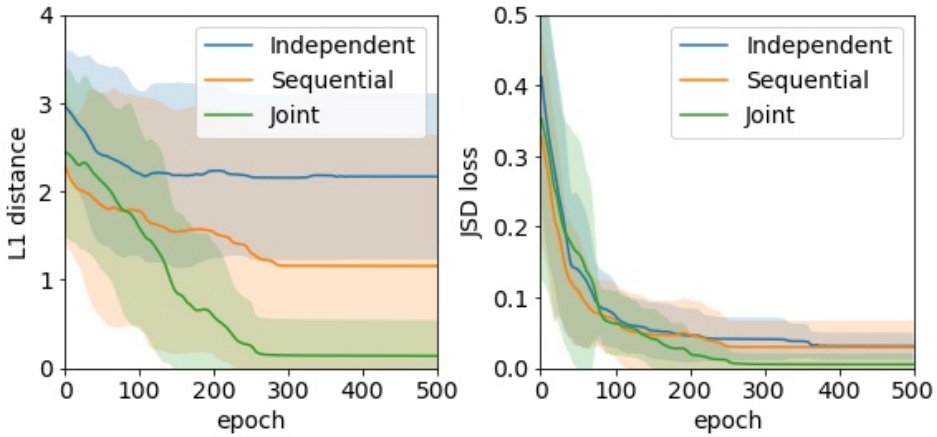


Synthetic Experiments [25]

We evaluated our learning method:

- On multiple synthetic models;
- Against *independent* and *sequential* approach;
- Monitoring *loss functions*, *L1-dist from ground truth*, *wall-clock time*.





Real-World Experiments [25]

We want to model the stage of **coating** in lithium-ion battery manufacturing:

$$\text{Mass Loading} = \text{f(input)}$$

Experiments are costly, so we want to integrate data[1] collected by two groups running similar (but not identical) experiments:

LRCS (France)

Collection of few statistics in each a few stages of battery manufacturing [5].

WMG (UK)

Collection of detailed space- and time-dependent measurements during coating.

[1] https://chemistry-europe.onlinelibrary.wiley.com/doi/full/10.1002/batt.201900135
https://github.com/mattdravucz/jointly-learning-causal-abstraction/

Real-World Experiments [25]

We evaluated our learning method:

- Performing abstraction of data from base to abstracted (WMG $\rightarrow$ LRCS);
- Evaluating change in performance using aggregated data when predicting *out-of-sample* (k).

	Training set	**Test Set**	**MSE**
(a)	LRCS[$CG \neq k$]	LRCS[$CG = k$]	1.86 ± 1.75
(b)	LRCS[$CG \neq k$] + WMG	LRCS[$CG = k$]	0.22 ± 0.26
(c)	LRCS[$CG \neq k$] + WMG[$CG \neq k$]	LRCS[$CG = k$] + WMG[$CG = k$]	1.22 ± 0.95

4. Current Developments

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Causality and *abstraction* may both play important role in modelling.

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1. *Foundations* of the framemorks
   - Category theory [15]
   - Measure theory [3]
   - Review [23]
2. *Characterization* of these frameworks
   - Measures of abstraction [26]
   - Abstraction with soft interventions [12]
   - Cluster DAGs and do-calculus [1]
   - Causal bandits and abstraction [24]
   - Connection between frameworks [21]

Current Developments

3. *Algorithmic and empirical* development
   - Learning with optimal transport [8]
   - Learning linear abstraction [13]
   - Target learning [11]
   - Neural models [22]
   - Riemannian optimization [6]

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More about causal abstraction:
https://github.com/FMZennaro/CausalAbstraction/

Thanks!

Thank you for listening!

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